



[BILLING CODE 4000-01-P]

DEPARTMENT OF EDUCATION

[Docket No. ED-2019-ICCD-0039]

Agency Information Collection Activities; Submission to the Office of Management and Budget for Review and approval; Comment Request; 2020-2021 Free Application for Federal Student Aid (FAFSA)

AGENCY: Department of Education (ED), Federal Student Aid (FSA).

ACTION: Notice.

SUMMARY: In accordance with the Paperwork Reduction Act of 1995, ED is proposing a revision of an existing information collection.

DATES: Interested persons are invited to submit comments on or before **[insert the 30th day after publication of this notice]**.

ADDRESSES: To access and review all the documents related to the information collection listed in this notice, please use <http://www.regulations.gov> by searching the Docket ID number ED-2019-ICCD-0039. Comments submitted in response to this notice should be submitted electronically through the Federal eRulemaking Portal at <http://www.regulations.gov> by selecting the Docket ID number or via postal mail, commercial delivery, or hand delivery. If the [regulations.gov](http://www.regulations.gov) site is not available to the public for any reason, ED will temporarily accept comments at ICDocketMgr@ed.gov. Please include the docket ID number and the title of the information collection request when requesting documents or submitting comments. *Please note that comments submitted by fax or e-mail and those submitted after the comment period will not be accepted.* Written requests for information or comments submitted by postal mail or delivery should be addressed to the Director of the Information Collection Clearance Division, U.S. Department of Education, 550 12th Street, SW, PCP, Room 9086, Washington, D.C. 20202-0023.

FOR FURTHER INFORMATION CONTACT: For specific questions related to collection activities, please contact the Applicant Products Team at StudentExperienceGroup@ed.gov.

SUPPLEMENTARY INFORMATION: The Department of Education (ED), in accordance with the Paperwork Reduction Act of 1995 (PRA) (44 U.S.C. 3506(c)(2)(A)), provides the general public and Federal agencies with an opportunity to comment on proposed, revised, and continuing collections of information. This helps the Department assess the impact of its information collection requirements and minimize the public's reporting burden. It also helps the public understand the Department's information

collection requirements and provide the requested data in the desired format. ED is soliciting comments on the proposed information collection request (ICR) that is described below. The Department of Education is especially interested in public comment addressing the following issues: (1) is this collection necessary to the proper functions of the Department; (2) will this information be processed and used in a timely manner; (3) is the estimate of burden accurate; (4) how might the Department enhance the quality, utility, and clarity of the information to be collected; and (5) how might the Department minimize the burden of this collection on the respondents, including through the use of information technology. Please note that written comments received in response to this notice will be considered public records.

Title of Collection: 2020-2021 Free Application for Federal Student Aid (FAFSA)

OMB Control Number: 1845-0001

Type of Review: A revision of an existing information collection

Respondents / Affected Public: Individuals or Households

Total Estimated Number of Annual Responses: 40,987,637

Total Estimated Number of Annual Burden Hours: 26,311,037

Abstract: Section 483, of the Higher Education Act of 1965, as amended (HEA), mandates that the Secretary of Education "...shall produce, distribute, and process free of charge common financial reporting forms as described in this subsection to be used for application and reapplication to determine the need and eligibility of a student for financial assistance...".

The determination of need and eligibility are for the following Title IV, HEA, federal student financial assistance programs: the Federal Pell Grant Program; the Campus-Based programs (Federal Supplemental Educational Opportunity Grant (FSEOG) and Federal Work-Study (FWS)); the William D. Ford Federal Direct Loan (Direct Loan) Program; the Teacher Education Assistance for College and Higher Education (TEACH) Grant; the Children of Fallen Heroes Scholarship; and the Iraq and Afghanistan Service Grant.

Federal Student Aid (FSA), an office of the U.S. Department of Education, subsequently developed an application process to collect and process the data necessary to determine a student's eligibility to receive Title IV, HEA program assistance. The application process involves an applicant's submission of the *Free Application for Federal Student Aid* (FAFSA®). After submission and processing of the FAFSA form, an applicant receives a *Student Aid Report* (SAR), which is a summary of the processed data they submitted on the FAFSA form. The applicant reviews the SAR, and, if necessary, will make corrections or updates to their submitted FAFSA data. Institutions of higher education listed by the applicant on the FAFSA form also receive a summary of processed data submitted on the FAFSA form which is called the Institutional Student Information Record (ISIR).

ED and FSA seek OMB approval of all application components as a single “collection of information”. The aggregate burden will be accounted for under OMB Control Number 1845-0001. The specific application components, descriptions, and submission methods for each are listed in Table 1.

Table 1. Federal Student Aid Application Components

Component	Description	Submission Method
Initial Submission of FAFSA		
FAFSA	The electronic version of the FAFSA form completed by applicants.	Submitted by the applicant via fafsa.gov or the myStudentAid mobile app
FAFSA- Renewal	The electronic version of the FAFSA form completed by applicants who have previously completed the FAFSA form.	
FAFSA-EZ	The electronic version of the FAFSA form for applicants who qualify for the Automatic Zero (Auto Zero) needs analysis formula and the applicant’s State of Legal Residence is one that allows for the skipping of questions not used in the EFC calculation.	
FAFSA- EZ Renewal	The electronic version of the FAFSA form for applicants who have previously completed the FAFSA form and who qualify for the Automatic Zero (Auto Zero) needs analysis formula and the applicant’s State of Legal Residence is one that allows for the skipping of questions not used in the EFC calculation.	
FAA Access	Online tool that a financial aid administrator (FAA) utilizes to submit a FAFSA form.	Submitted through faaaccess.ed.gov by an FAA on behalf of an applicant.
FAA Access – Renewal	Online tool that an FAA can utilize to submit a Renewal FAFSA form.	
FAA Access – EZ	Online tool that an FAA can utilize to submit a FAFSA form for applicants who qualify for the Auto Zero needs analysis formula and the applicant’s State of Legal Residence is one that allows for the skipping of questions not used in the EFC calculation.	
FAA Access – EZ Renewal	Online tool that an FAA can utilize to submit a FAFSA form for applicants who have previously completed the FAFSA form and who qualify for the Auto Zero needs analysis formula and the applicant’s State of Legal Residence is one that allows for the skipping of questions not used in the EFC calculation.	
Electronic Other	This is a submission done by an FAA, on behalf of the applicant, using the Electronic Data Exchange (EDE).	The FAA may be using their mainframe computer or software to facilitate the EDE process.
Printed FAFSA	The printed version of the PDF FAFSA for applicants who are unable to access the Internet or complete the form using fafsa.gov or the myStudentAid mobile app.	Mailed by the applicant.
Correcting Submitted FAFSA Information and Reviewing FAFSA Information		

fafsa.gov– Corrections	Any applicant who has a Federal Student Aid ID (FSA ID) – regardless of how they originally applied – may make corrections.	Submitted by the applicant via fafsa.gov.
Electronic Other – Corrections	With the applicant’s permission, corrections can be made by an FAA using the EDE.	The FAA may be using their mainframe computer or software to facilitate the EDE process.
Paper SAR – This is a SAR and an option for corrections.	The full paper summary that is mailed to paper applicants who did not provide an e-mail address and to applicants whose records were rejected due to critical errors during processing. Applicants can write corrections directly on the paper SAR and mail for processing.	Mailed by the applicant.
FAA Access – Corrections	An institution can use FAA Access to correct the FAFSA form.	Submitted through faaaccess.ed.gov by an FAA on behalf of an applicant.
Internal Department Corrections	The Department will submit an applicant’s record for system-generated corrections to the Central Processing System. There is no burden to the applicants under this correction type as these are system-based corrections.	These corrections are system- generated.
Federal Student Aid Information Center (FSAIC) Corrections	Any applicant, with their Data Release Number (DRN), can change the postsecondary institutions listed on their FAFSA form or change their address by calling FSAIC.	These changes are made directly in the CPS by an FSAIC representative.
SAR Electronic (eSAR)	The eSAR is an online version of the SAR that is available on fafsa.gov to all applicants with an FSA ID. Notification for the eSAR is sent to students who applied electronically or by paper and provided a valid e-mail address. These notifications are sent by e-mail and include a hyperlink that takes the user to the fafsa.gov site.	Cannot be submitted for processing.
SAR Acknowledgement	The SAR Acknowledgement is a condensed paper SAR that is mailed to applicants who applied electronically but did not provide a valid e-mail address.	Cannot be submitted for processing.

This information collection also documents an estimate of the annual public burden as it relates to the application process for federal student aid. The Applicant Burden Model (ABM) measures applicant burden through an assessment of the activities each applicant conducts in conjunction with other applicant characteristics and, in terms of burden, the average applicant’s experience. Key determinants of the ABM include:

- The total number of applicants that will potentially apply for federal student aid;
- How the applicant chooses to complete and submit the FAFSA form (e.g., by paper or electronically);
- How the applicant chooses to submit any corrections and/or updates (e.g., the paper SAR or electronically);
- The type of SAR document the applicant receives (eSAR, SAR acknowledgment, or paper SAR);
- The formula applied to determine the applicant’s expected family contribution (EFC) (full need analysis formula or Automatic Zero); and

- The average amount of time involved in preparing to complete the application.

The ABM is largely driven by the number of potential applicants for the application cycle. The total application projection for 2020-21 is based upon two factors – estimating the growth rate of the total enrollment into post-secondary education and applying the growth rate to the FAFSA submissions. The ABM is also based on the application options available to students and parents. ED accounts for each application component based on analytical tools, survey information and other ED data sources.

For 2020-21, ED is reporting a net burden increase of 2,358,697 hours.

Dated: June 28, 2019.

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[FR Doc. 2019-14262 Filed: 7/2/2019 8:45 am; Publication Date: 7/3/2019]